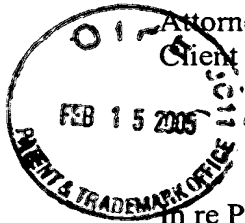


Attorney Docket: 040258-0307828  
Client Reference: EL01006CDC-D7



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of: OHMI ET  
AL.

Confirmation Number: 5332

Application No.: 10/762,520

Group Art Unit: 2818

Filed: January 23, 2004

Examiner: David Vu

Title: FLASH MEMORY DEVICE AND A FABRICATION PROCESS THEREOF,  
METHOD OF FORMING A DIELECTRIC FILM

REQUEST FOR COMPLETELY INITIALED  
PTO-1449 DATED JANUARY 23, 2004

U.S. Patent and Trademark Office  
Customer Window – Mail Stop Issue Fee  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In reviewing the Notice of Allowance, dated January 27, 2005, Applicants noted that only one page of the three page PTO-1449s that were filed with the application on January 23, 2004 were initialed and returned by the Examiner. The three IDS pages listed all of the prior art filed by the Applicants and cited by the Examiner in the parent application, Serial No. 10/359,701, filed February 7, 2003, which is now allowed.

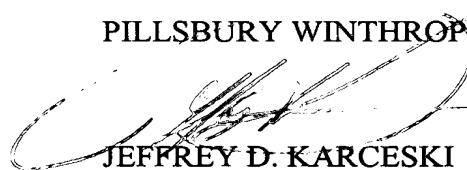
The present application was allowed on the first Office Action, and copies of all of the other 1449s filed in this application and initialed by the Examiner were enclosed with the Notice of Allowance. However, pages 2 and 3 of the PTO-1449s filed with the application were missing. Applicants enclose copies of the second and third PTO-1449 pages for the Examiner's convenience.

OHMI ET AL. -- 10/762,520  
Client/Matter: 040258-0307828

It is respectfully requested that the Examiner initial page 2, containing 2 foreign references, and page 3, containing three non-patent literature references, and return both initialed PTO-1449s by facsimile as soon as possible.

Respectfully submitted,

PILLSBURY WINTHROP LLP



JEFFREY D. KARCESKI

Reg. No. 35914

Tel. No. 703.905.2110

Customer No. 00909

Date: February 15, 2005

P.O. Box 10500  
McLean, VA 22102  
(703) 905-2000



PTO/SB/08a (08-03)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	
Filing Date	01/23/2004
First Named Inventor	TADAHIRO OHMI
Art Unit	2818
Examiner Name	
Attorney Docket Number	040258-0307828

Sheet 1 of

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	F	JP 9-223752	08-00-1997	MINE		
	G	JP PCT/JP01/01967	03-00-2001			

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08b (08-03)

Approved for use through 06/30/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number			
		Filing Date	01/23/2004		
		First Named Inventor	TADAHIRO OHMI		
		Art Unit	2818		
Examiner Name					
Sheet	1	of	1	Attorney Docket Number	040258-0307828

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	ITO ET AL., "Silicon Oxynitridation With Inductively Coupled Oxygen-Nitrogen Mixed Plasma," Jpn. J. Appl. Phys., p. 612-616, ( 1997).	
	2	HIRAYAMA ET AL., "Low Temperature Growth of High-Integrity Silicon Oxide Films by Oxygen Radical Generated in High-Density Krypton-Plasma," IEEE, p. 249-252, ( 1999).	
	3	SAITO ET AL., "Low Temperature Formation of Gate-Grade Silicon Nitride Film Employing Microwave-Excitation High-Density Plasma," Technical Report, IEICE, p. 85-90, ( 1999).	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.